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|------------------------------------------------------------------------------------------------------------------------|--|------------------------------------------------------------|--------------------------------------|-------------------------------|
| Substitute Form PTO-1449<br>(Modified)                                                                                 |  | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney Docket No.<br>22862-0004US1 | Application No.<br>10/575,438 |
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) |  | Applicant<br>Wheeler et al.                                |                                      |                               |
|                                                                                                                        |  | Filing Date<br>April 11, 2006                              | Group Art Unit<br>1642               |                               |

| <b>U.S. Patent Documents</b> |           |                 |                  |                |       |          |                            |
|------------------------------|-----------|-----------------|------------------|----------------|-------|----------|----------------------------|
| Examiner Initial             | Desig. ID | Document Number | Publication Date | Patentee       | Class | Subclass | Filing Date If Appropriate |
|                              | 1         | 2002/0192211    | 12/19/2002       | Hudziak et al. |       |          |                            |

| <b>Foreign Patent Documents or Published Foreign Patent Applications</b> |           |                 |                  |                          |       |          |             |
|--------------------------------------------------------------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|
| Examiner Initial                                                         | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |
|                                                                          |           |                 |                  |                          |       |          | Yes No      |
|                                                                          | 2         | WO 89/06692     | 07/27/1989       | WIPO                     |       |          |             |
|                                                                          | 3         | WO 94/26293     | 11/24/1994       | WIPO                     |       |          |             |
|                                                                          | 4         | WO 02/11742     | 02/14/2002       | WIPO                     |       |          |             |

| <b>Other Documents (include Author, Title, Date, and Place of Publication)</b> |           |                                                                                                                                                                                           |
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| Examiner Initial                                                               | Desig. ID | Document                                                                                                                                                                                  |
|                                                                                | 5         | KIKUCHI et al., "Intratumoral injection of dendritic and irradiated glioma cells induces anti-tumor effects in a mouse brain tumor model," Cancer Immunol. Immunother., 51:424-430 (2002) |
|                                                                                | 6         | LIU et al., "Cytotoxic T cell targeting of TRP-2 sensitizes human malignant glioma to chemotherapy," Oncogene, 24:5226-34 (2005)                                                          |
|                                                                                | 7         | LIU et al., "Sensitization of malignant glioma to chemotherapy through dendritic cell vaccination," Expert Rev. Vaccines, 5:233-247 (2006)                                                |
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|                                                                                | 9         | SONG et al., "Strategies to improve dendritic cell-based immunotherapy against cancer," Yonsei Med. J., 45(Suppl):48-52 (2004)                                                            |
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|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|
| Examiner Signature<br><br>/Laura B. Goddard/                                                                                                                                 | Date Considered<br><br>01/22/2010 |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                                   |